



\* City

\* State

\* Country  PIN

\* Telephone No.  Fax No.

\* Nature of business:  
(Products/services provided)

\* Financial Details: Income Range per annum:

Up to Rs. 1,00,000  Rs. 1,00,001 to Rs. 2,00,000

Rs. 2,00,001 to Rs. 5,00,000  More than Rs. 5,00,000

**SSD.10 - A Joint Holders - Second Holder's Details**

\* First Name

\* Middle Name

\* Last Name / Surname

\* Father's / Husband's Name

\* Title  Mr.  Mrs.  Ms.  Other  Suffix

\* Date of Birth

Nationality  Indian  Others (specify) \_\_\_\_\_

Sex  Male  Female

Marital Status  Married  Unmarried  Others

\* Occupation  Service {  Central Govt.  State Govt.  Public/Private Sector  NGO  Statutory Body}

Professional  Business  Student  Retired  Housewife

Self Employed  Others (Specify) \_\_\_\_\_

\* Permanent Address (Line 1)

(for which proof is given) (Line 2)

(Line 3)

\* City

\* State

\* Country  \* PIN

\* Telephone No. with STD Code  M.

\* E-mail ID

\* PAN / GIR No.

\* FAX No.  IT Circle/Ward

\* Nature of business:  
(Products/services provided)

**SSD.10 - B Joint Holders - Third Holder's Details**

\* First Name

\* Middle Name

\* Last Name / Surname

\* Father's / Husband's Name

\* Title  Mr.  Mrs.  Ms.  Other  Suffix

\* Date of Birth

Nationality  Indian  Others (specify) \_\_\_\_\_

Sex  Male  Female

Marital Status  Married  Unmarried  Others

\*Occupation  Service {  Central Govt.  State Govt.  Public/Private Sector  NGO  
 Statutory Body}  
 Professional  Business  Student  Retired  Housewife  
 Self Employed  Others (Specify) \_\_\_\_\_

\* Permanent Address (Line 1)   
(for which proof is given) (Line 2)   
(Line 3)

\* City   
\* State   
\* Country  \* PIN   
\* Telephone No. with STD Code  M.   
\* E-mail ID   
\* PAN / GIR No.   
\* FAX No.  IT Circle/Ward   
\* Nature of business:  
(Products/services provided)

I/We request you to send the statement of transaction/holding by E-mail.  Yes  No

Signatures **F1** \_\_\_\_\_ **S1** \_\_\_\_\_ **T1** \_\_\_\_\_

(Automatic credit)

Do you wish to receive dividend / interest directly into your bank account given below through ECS?  Yes  No

Account operated through Power of Attorney (POA)  Yes  No

I/We instruct the DP to receive each and every credit in my / our account  Yes  No

Account Statement Requirement  Daily  Weekly  Fortnightly  Monthly

**SS.3 Bank Details\***

Bank Name   
Bank Account number   
A/c type  Saving  Current  Cash Credit  
Bank Branch & Address (Line 1)   
(Line 2)   
City (Line 3)   
State  Country   
PIN   
Bank Code (9 digit MICR)  Bank Branch Code

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	F2	S2	T2
Passport size Photograph	(Please paste a passport size photograph and sign across the photograph)	(Please paste a passport size photograph and sign across the photograph)	(Please paste a passport size photograph and sign across the photograph)

*(Signature should be preferably in black ink)*

(In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.)

Name* _____
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

*SMS Alert Facility	<input type="checkbox"/> Yes      Mobile No. +91 _____ Refer to Terms & Conditions given as <b>Annexure-2.4</b>	<input type="checkbox"/> No
easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details (Facility through CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> wherein a BO can view his ISIN balances, transactions and value of the portfolio online.)	<input type="checkbox"/> No

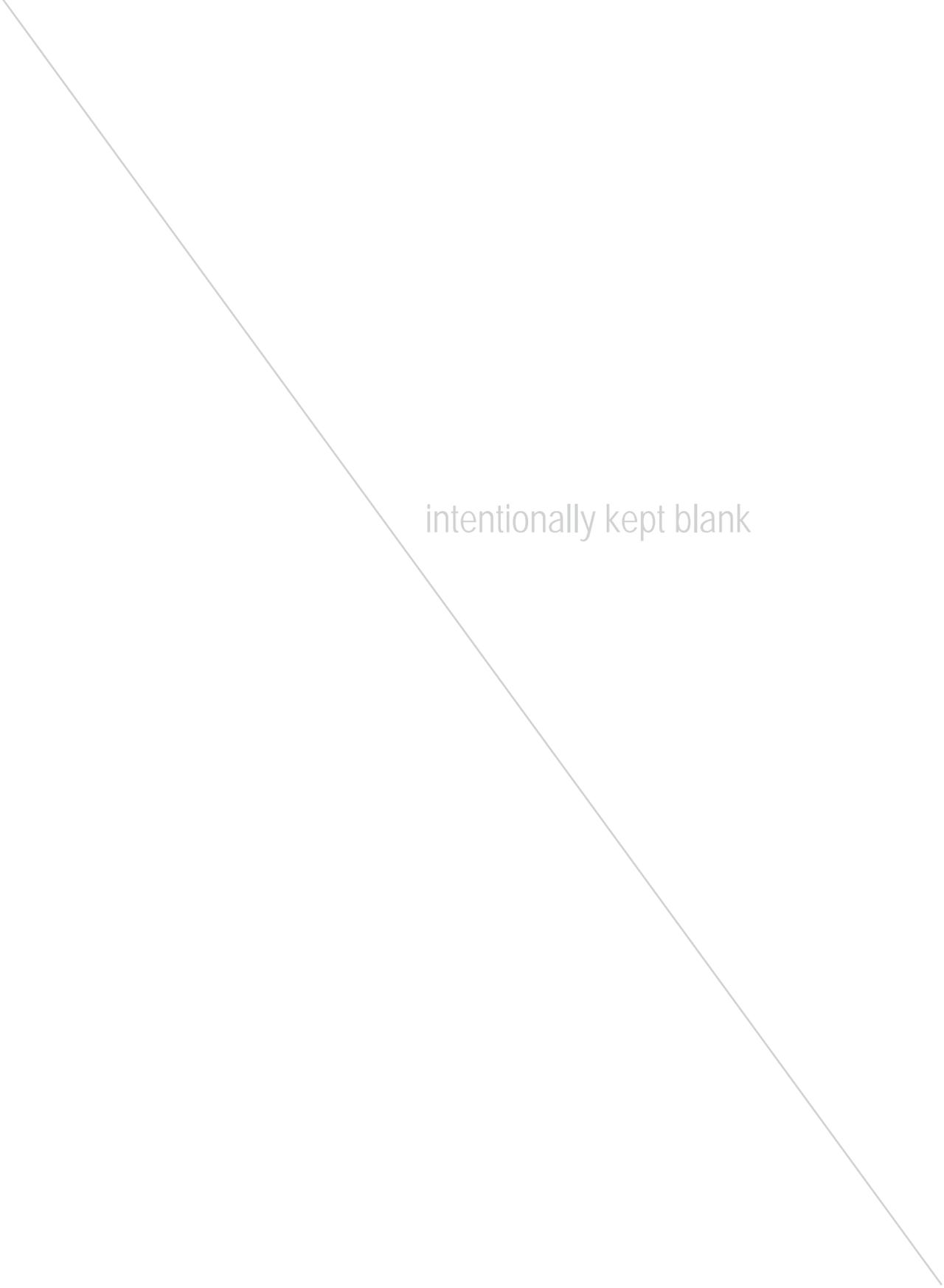
**A-2 Details of Guardian (If minor is the first and sole holder in the account)**

First Name	<input type="text"/>
Middle Name	<input type="text"/>
Last Name	<input type="text"/>
Father's / Husband's Name	<input type="text"/>
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other <input type="text"/> Suffix
Relationship with applicant	<input type="text"/>
Correspondence Address (Line 1)	<input type="text"/>
(Line 2)	<input type="text"/>
City (Line 3)	<input type="text"/> State <input type="text"/>
Country	<input type="text"/> PIN <input type="text"/>
PAN / GIR No.	<input type="text"/> Mobile <input type="text"/>
Telephone No.	<input type="text"/> Fax No. <input type="text"/>
E-mail ID	<input type="text"/>

**SS.5 Only For NRI (Mandatory if applicant is NRI)**

Foreign Address (Line 1)	<input type="text"/>
(Line 2)	<input type="text"/>
City (Line 3)	<input type="text"/>
State	<input type="text"/>





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**Reliance Capital Limited**  
**Demat fee schedule**  
**Effective from June 15, 2009**

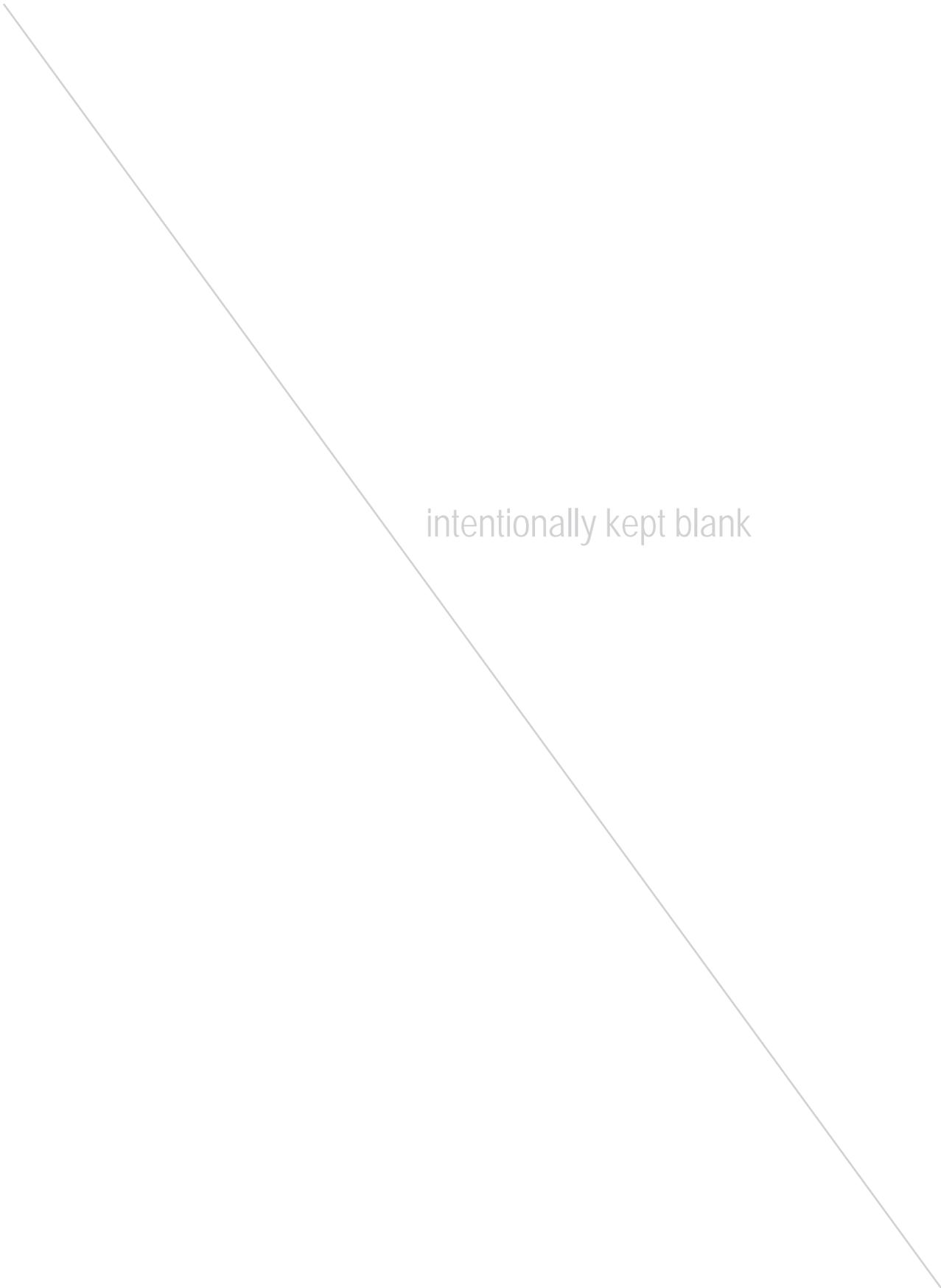
Fee Head	DP Charges
1. Annual Services Charges (AMC): For Individuals / HUFs / Trust For NRIs / Foreign Nationals Corporates / Others	Rs. 200/- Rs. 1000/- Rs. 1000/-
2. Transaction Charges: SELL (Market & Off Market) For instructions given in physical form  For instructions received through Internet/ online trading through Reliance Securities Ltd.  Extra charges for processing of instructions submitted on the execution date (accepted at Client's risk, only for physical instructions)	Rs. 25/- per ISIN  Rs. 12/- per ISIN  Rs. 10/- per ISIN
3. Dematerialisation: For each request form Extra for each certificate	Rs. 20/- Rs. 2/-
Rematerialisation:  A fee of Rs.40/- for 100 securities or part thereof or a flat fee of Rs.25/- per certificate which ever is higher in addition to Regd. post charges, subject to minimum Rs.25/-	
4. Closure of Account	Nil
5. Pledge Set Up/Removal/Confirmation /Invocation	Rs. 25/-
6. Additional Account Statements: For Individuals / HUFs / Corporate For NRIs / Foreign Nationals  Additional physical account statement for NRI/Foreign Nationals having corresponding address outside India.	Rs. 40/- per statement Rs. 100/- per statement  Rs. 1000/- per statement
7. Custody Charges	Nil
8. Re-issue of DIS booklet	Rs. 50/-
9. Request for Modification of details like Bank Account/ Client address / Nomination details	Rs. 40/-

**Other Terms:**

- ☞ There will be a charge of Rs. 100/- for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if Reliance Capital Limited is unable to recover charges from the customer, for any reason whatsoever. There will be a charge of Rs. 250/- for resumption of services in such cases. Reliance Capital Limited will resume services after a minimum of 3 working days from the date of receipt. Any service, which is not mentioned above, will be charged separately as per the rates applicable from time to time.
- ☞ Reliance Capital Limited reserves the right to revise the tariff structure from time to time with a notice of 30 days. This notice may be given by ordinary post or by an advertisement in a National Daily or by email to customers. We understand that in case the demat account is closed during the year; no pro-rata refund of Annual Service charges will be made. Service tax and other government / statutory levies will be charged extra.
- ☞ Holding statement, Transaction statement, changes request conformation and Bills may be sent to the email ID of the client, registered in our system and by posting on the website of www.rsec.co.in. (a) In case client(s) give(s) the written request for physical copy of the transaction statements, the same shall be provided at the address of the client(s) registered in our systems. (b) The Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. (c) The Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. (d) In case transaction statements are sent by email, the Client(s) shall immediately inform the DP about change in email address, if any. (e) DPs and Client(s) shall have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party.

1. \_\_\_\_\_ F5      2. \_\_\_\_\_ S5      3. \_\_\_\_\_ T5

Signature of Sole / First Holder                      Signature of Second Holder                      Signature of Third Holder



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**Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL****Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

**Availability:**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts:**

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cDSLindia.com](mailto:complaints@cDSLindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.



## DP agreement - CDSL

### Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

F7

This Agreement made and entered into at SILVASA this \_\_\_\_\_ day of \_\_\_\_\_, between **Reliance Capital Limited**, M.B.C Center, Ground Floor, 143-A, Ghodbunder Road, Opp. Cine Wonder Cinema, Kapurbavdi, Thane (W) - 400 607. & having an office at Shop No 6 & 7, First Floor, City Centre, Silvassa – Vapi Main Road, Silvassa. Dadra & Nagar Haveli 396230, hereinafter called "**the Participant**" of the One Part; AND

S7

1. \_\_\_\_\_ having his/ its office/ registered office/ address at \_\_\_\_\_

T7

2. \_\_\_\_\_ having his/ its office/ registered office/ address at \_\_\_\_\_

F8

3. \_\_\_\_\_ having his/ its office/ registered office/ address at \_\_\_\_\_

S8

hereinafter called "**the Beneficial Owner**" of the Other Part.

**WHEREAS** the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

T8

**NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:**

#### General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

#### Fees, Charges and deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

#### Statement of Account

3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter.

The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.

3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

#### Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

#### Depository not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

F9

S9

T9

**Authorised Representative**

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Closure of Account**

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

**Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Mumbai.

**GOVERNING LAW**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

by the withinamed the  
Participant Reliance Capital Limited  
by the hand of its authorised  
representative Shri \_\_\_\_\_

Signature 

In the presence of (witness name) \_\_\_\_\_

Signature 

SIGNED AND DELIVERED

by the within named beneficial Owner

First holder \_\_\_\_\_

Signature

F10

Second Holder \_\_\_\_\_

Signature

S10

Third Holder \_\_\_\_\_

Signature

T10

In the presence of (witness name) \_\_\_\_\_

Signature

W2

**Declaration from HUF**  
(On HUF Letterhead)

**for Demat Account**

To  
**Reliance Capital Limited,**  
M.B.C Center, Ground Floor, 143-A, Ghodbunder Road, Opp. Cine Wonder Cinema, Kapurbavdi, Thane (W) - 400 607.

Dear Sirs,

**Re: Opening of a demat account.**

We request you to open a Demat Account ("the Account") in the name of " \_\_\_\_\_  
HUF" for the purpose of dealing in shares, securities and other financial & saving instruments.

We state that we are the only members of the said Joint Hindu Family.

Mr. \_\_\_\_\_ is the Karta / Manager of the above Hindu Undivided Family (HUF) and is authorized to open and operate singly the demat account with Reliance Capital Limited in the name of the HUF.

We confirm that the activities and business of the said HUF is carried on mainly by the said Karta as also by the other signatories hereto in the interest and for the benefit of the entire body or co-parceners of the HUF. We further confirm that the Karta is authorized to sign on behalf of the HUF and has full and unrestricted authority to bind all members of the HUF however constituted from time to time.

We declare and state that the Karta is authorized to execute all deeds, documents, papers, agreements, power of attorney(ies), documents creating security, Demand Promissory Notes etc in respect of the opening and operation of the Account.

We request and authorize you to honour operations and instructions under the signature(s) of the Karta in respect of the Account. We note that all online transaction request placed by clients are authenticated by a user-id & password and other security features. We authorize you to allot such user id, password etc to the above Karta on behalf of the HUF.

We, the undersigned members of the HUF, undertake to bind not only the present members of the said HUF (both adult and minors) but also all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally and our respective interest in the HUF properties as well as our separate estates towards discharge of our obligations to you arising out of the transactions done in the said Demat account

We also undertake that any and all claims due to you from the said HUF shall be recoverable personally from all or any of us and also from the entire family properties of the HUF of which the first signatory is the Karta, including the share of the minor co-parceners.

We hereby undertake to inform you of the death or birth of co-parcener or of any change occurring at any time in the membership of the HUF during the subsistence of the Account.

We confirm to have read and understood the terms and conditions applicable to the Account and relating thereto and shall always be bound by and abide with them and their amendments from time to time.

Yours faithfully,

Full Name: \_\_\_\_\_ Signature: \_\_\_\_\_

Date: \_\_\_\_\_

[To be signed by all members including female members of the HUF. Names should be suffixed with details like s/o, d/o, w/o as the case may be, with address where the address is different from the HUF address. Minor's name (and date of birth) to be mentioned like "A" (minor) through "B" (natural guardian)].

**Adult Co-Parceners and members**

Name	Son/Daughter/ Wife of	Male/ Female	Date of birth & age	Relationship with Karta	Address	Signature

**Minor Co-Parceners and members**

Name of Minor and his/her natural guardian	Son/Daughter of/ Date of Birth	Male/ Female	Date of birth	Relationship with Karta	Address	Signature of Natural Guardian