

Bank Details

Bank Name

Bank Account number

A/c type Saving Current Others (Please Specify) _____

Bank Branch & Address

City

State

Country PIN

Bank Code (9 digit MICR) Bank Branch Code

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document and it should be self-certified by the BO.

In Case of FIIs/OCBs/Others (as may be applicable)

Indian Address

City State

Country PIN

*Telephone No. with STD Code Mobile

*FAX No. Email ID

RBI Ref No. / PIS No. RBI Approval date

SEBI Registration No. (for FIIs)

Address for communication

Currency

Foreign Address

City State

Country PIN

*Telephone No. with STD Code Mobile

*FAX No. Email ID

Address for communication / CorporateBenefits (Default option is Registered office Address)	Registered / Permanent Address <input type="checkbox"/>
	Correspondence Address / Foreign Address <input type="checkbox"/>

Introduction (by an existing account holder/applicant's bank)

DP ID Client ID

I confirm the identity and address of the applicant(s)

Name of Introducer

Signature of Introducer/ Signature and Seal incase of Bank
(To be verified by DP official)

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Sole/First Holder	Name	Signature(s)
First Signatory		
Second Signatory		
Third Signatory		
Other Holder Second Holder		
Third Holder		

Mode of Operation for Sole/First Holder (In case of joint holdings, all the holders must sign)

- Any one singly Jointly by
 As per resolution
 Others (please specify)

Notes:

- In case of additional signatures, separate annexure should be attached to the application form.
- The following documents may be accepted as proof of identity / proof of address:
 - Memorandum & Articles of Association (MOA & AOA), board resolution for opening demat account and the list of authorised signatories alongwith their specimen signatures and photographs, etc.
 - Introduction by an existing account holder or by the applicant's bank.
 - Proof of address of the corporate evidenced by the document registered with Registrar of Companies or acknowledged copy of Income Tax Return or Bank Statement or Leave and License agreement/Agreement for sale.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.

Acknowledgement

Reliance Capital Limited,

M.B.C Center, Ground Floor, 143-A, Ghodbunder Road, Opp. Cine Wonder Cinema, Kapurbavdi, Thane (W) - 400 607.

Tel.: (91) (22) 3988 6000 E-mail:customer.support@rsec.co.in / grievance@rsec.co.in

Application No.:

Date:

DP ID: 13041400

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder _____

Name of the Second Joint Holder _____

Name of Third Joint Holder _____

Reliance Capital Limited Seal and Signature

Reliance Capital Limited
Demat fee schedule
Effective from June 15, 2009

Fee Head	DP Charges
1. Annual Services Charges (AMC): For Individuals / HUFs / Trust For NRIs / Foreign Nationals Corporates / Others	Rs. 200/- Rs. 1000/- Rs. 1000/-
2. Transaction Charges: SELL (Market & Off Market) For instructions given in physical form For instructions received through Internet/ online trading through Reliance Securities Ltd. Extra charges for processing of instructions submitted on the execution date (accepted at Client's risk, only for physical instructions)	Rs. 25/- per ISIN Rs. 12/- per ISIN Rs. 10/- per ISIN
3. Dematerialisation: For each request form Extra for each certificate	Rs. 20/- Rs. 2/-
Rematerialisation: A fee of Rs.40/- for 100 securities or part thereof or a flat fee of Rs.25/- per certificate which ever is higher in addition to Regd. post charges, subject to minimum Rs.25/-	
4. Closure of Account	Nil
5. Pledge Set Up/Removal/Confirmation /Invocation	Rs. 25/-
6. Additional Account Statements: For Individuals / HUFs / Corporate For NRIs / Foreign Nationals Additional physical account statement for NRI/Foreign Nationals having corresponding address outside India.	Rs. 40/- per statement Rs. 100/- per statement Rs. 1000/- per statement
7. Custody Charges	Nil
8. Re-issue of DIS booklet	Rs. 50/-
9. Request for Modification of details like Bank Account/ Client address / Nomination details	Rs. 40/-

Other Terms:

- ☛ There will be a charge of Rs. 100/- for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if Reliance Capital Limited is unable to recover charges from the customer, for any reason whatsoever. There will be a charge of Rs. 250/- for resumption of services in such cases. Reliance Capital Limited will resume services after a minimum of 3 working days from the date of receipt. Any service, which is not mentioned above, will be charged separately as per the rates applicable from time to time.
- ☛ Reliance Capital Limited reserves the right to revise the tariff structure from time to time with a notice of 30 days. This notice may be given by ordinary post or by an advertisement in a National Daily or by email to customers. We understand that in case the demat account is closed during the year, no pro-rata refund of Annual Service charges will be made. Service tax and other government / statutory levies will be charged extra.
- ☛ Holding statement, Transaction statement, changes request conformation and Bills may be sent to the email ID of the client, registered in our system and by posting on the website of www.rsec.co.in. (a) In case client(s) give(s) the written request for physical copy of the transaction statements, the same shall be provided at the address of the client(s) registered in our systems. (b) The Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. (c) The Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. (d) In case transaction statements are sent by email, the Client(s) shall immediately inform the DP about change in email address, if any. (e) DPs and Client(s) shall have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party.

1. _____ ●

Signature of Sole / First Holder

2. _____ ●

Signature of Second Holder

3. _____ ●

Signature of Third Holder

DP agreement - CDSL

Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at _____ this _____ day of _____, between **Reliance Capital Limited**, M.B.C Center, Ground Floor, 143-A, Ghodbunder Road, Opp. Cine Wonder Cinema, Kapurbavdi, Thane (W) - 400 607. & having an office at Shop No 6 & 7, First Floor, City Centre, Silvassa – Vapi Main Road, Silvassa. Dadra & Nagar Haveli 396230, hereinafter called "**the Participant**" of the One Part; AND

1. _____ having his/ its office/ registered office/ address at _____
2. _____ having his/ its office/ registered office/ address at _____
3. _____ having his/ its office/ registered office/ address at _____, hereinafter called "**the Beneficial Owner**" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

Statement of Account

- 3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter.

The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.
- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Depository not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorised Representative

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

Stamp Duty

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

Force Majeure

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

Service of Notice

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

Jurisdiction

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Mumbai.

GOVERNING LAW

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

by the withinamed the
Participant Reliance Capital Limited
by the hand of its authorised
representative Shri_____

Signature 

In the presence of (witness name)_____

Signature 

SIGNED AND DELIVERED

by the within named beneficial Owner

First holder _____

Signature 

Second Holder _____

Signature 

Third Holder _____

Signature 

In the presence of (witness name)_____

Signature 

BOARD RESOLUTION FORMAT (to be taken on the letterhead of the client)

(On the letter head of Corporate Client)

We hereby certify that the following resolution of the board of directors of was passed at the meeting of the board of directors held on and has been duly recorded in the minute book of

Resolved, that a Depository /Beneficiary Owner Account be opened for the Company with Reliance Capital Limited a Depository Participant of CDSL / NSDL and the following persons be and are hereby jointly and severally authorized to open, operate and maintain the said Depository /Beneficiary Owner Account and Reliance Capital Limited be and is hereby authorized to act on any instructions given by these persons for the same.

Name of the Authorized Persons	Designation	Specimen Signature
1.X
2.X

Resolved further that the Company trade in equities and derivatives and a trading account be opened with Reliance Securities Limited for the purpose of availing of services for trading in Securities, Derivatives, Investment & other services offered by Reliance Securities Limited and that the following persons are hereby authorized jointly and severally to execute and sign necessary Account Opening Form, Agreement(s), Risk Disclosure Documents, execute Power of attorney and such other documents as may be required for the purpose.

Name of the Authorized Persons	Designation	Specimen Signature
1.X
2.....X

Resolved further that the Company trade in commodities and derivatives and that a trading account be opened with Reliance Commodities Limited for the purpose of availing of services for trading in Commodities, Commodity Derivatives offered by Reliance Commodities Limited and its affiliates and that the following persons be and are hereby authorized jointly and severally to execute and sign necessary Account Opening Form, Agreement(s), Risk Disclosure Documents, execute Power of attorney and such other documents as may be required for the purpose.

Name of the Authorized Persons	Designation	Specimen Signature
1.X
2.....X

Resolved further that the following person be and are hereby authorized on behalf of the company to accept in writing or through electronic media, the various Terms & Conditions applicable to the accounts opened/to be opened with Reliance Commodities Limited, Reliance Securities Limited and / or Reliance Capital Limited and its affiliates and to do all things and deeds as may be necessary in this regard.

Name of the key Authorised person -

X
Signature of the **Company Secretary /Director / Wholetime Director / Chairman /**